



## **INVESTMENT POLICY STATEMENT**

This Investment Policy Statement (IPS) is designed to enable us achieve your investment goals and objectives. If accurately completed, it will be an effective tool that will assist us to implement the best methods suited for your investment; using your selected preferences.

Since your personal and financial situation may change over time, it is important for you to review and send us annual updates of this document. Please select only one answer for each question.

### **SECTION A: INVESTOR PROFILE**

**1. Full Name:** \_\_\_\_\_

**2. Company Name:** \_\_\_\_\_

**3. Type of investor:**

- High net worth client
- Corporate client

**4. Investment Capital:**

- £ \_\_\_\_\_
- \$ \_\_\_\_\_
- € \_\_\_\_\_

**5. Which of these best describes your knowledge about the financial markets?**

- None
- Basic
- Strong

**6. Choose your main financial goal?**

- Income generation - preserving your capital while earning a fixed amount of regular income
- Wealth accumulation - growing your financial assets substantially over an extended period
- Wealth preservation - growing your financial assets marginally over an extended period

## **SECTION B: INVESTMENT OUTLOOK**

### **7. What is the time frame in which you plan to achieve your financial objectives?**

- 1 - 3 years
- 3 - 5 years
- Above 5 years

### **8. How long do you plan to invest your fund before withdrawing profits?**

- After 1 year
- Within 2 - 3 years
- Within 4 - 5 years
- After 5 years

### **9. What is your expected annual return on investment (R.O.I.)?**

- 0% - 25%
- 25% - 50%
- 50% - 75%
- 75% - 100%

## **SECTION C: RISK TOLERANCE**

### **10. What is the maximum devaluation (loss limit) you can tolerate before earning profits?**

- 5%
- 10%
- 15%

## **SECTION D: CLIENT AFFIRMATION**

I, \_\_\_\_\_, hereby confirm that all the information and details I stated above are true and correct. I understand and agree that the replies given will be used to assess and administer the necessary expertise towards my investment portfolio.

\_\_\_\_\_  
**Client's Signature**

\_\_\_\_\_  
**Date of Completion**